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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/901,125	07/10/2001	Katsuya Demoto	1114-163	7206

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EXAMINER
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HOSSAIN, TANIM M

ART UNIT	PAPER NUMBER
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2145

DATE MAILED: 08/28/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

<b>Office Action Summary</b>	<b>Application No.</b> 09/901,125	<b>Applicant(s)</b> DEMOTO ET AL.	
	<b>Examiner</b> Tanim Hossain	<b>Art Unit</b> 2145	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

#### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

#### Status

- 1) ☒ Responsive to communication(s) filed on 05 June 2006.
- 2a) ☒ This action is **FINAL**.                      2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

#### Disposition of Claims

- 4) ☒ Claim(s) 1-23 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-23 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

#### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

#### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  
a) ☐ All    b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

#### Attachment(s)

- |  |   |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892)   | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                                   | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152)             |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)<br>Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____  |

Art Unit: 2145

## DETAILED ACTION

### *Claim Rejections - 35 USC § 112*

The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

Claims 1-23 are rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the enablement requirement. The claim(s) contains subject matter which was not described in the specification in such a way as to enable one skilled in the art to which it pertains, or with which it is most nearly connected, to make and/or use the invention. In the discussion of claims 1, 10, 11, and 15, and as such, their dependent claims, there is no disclosure in the specification of an output device outputting the information received from the information server.

### *Claim Rejections - 35 USC § 102*

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

Claims 1-3, 5-8, 10, 11, and 14 are rejected under 35 U.S.C. 102(b) as being anticipated by Shaffer (E.P. 0,848,560).

As per claim 1, Shaffer teaches a communication system comprising: an information server capable of performing communication in first and second communication modes (column 4, lines 4-27; where one remotely located site constitutes the information server from which the user obtains data; column 5, lines 44-52); a communication apparatus capable of performing communication in the first and second communication modes (column 3, lines 9-16; column 4, lines 4-27), the communication apparatus including: communication circuitry capable of performing communication with the information server in the first and second communication modes to receive information sent from the information server in response to an information acquisition request generated by the communication apparatus and communicated to the information server via the communication circuitry (column 4, lines 4-27; column 3, lines 21-25; where one remote site constitutes one user, and the node from which data is obtained is the information server, and the network administrator controls the information server); a connection information storage section (column 6, line 56 – column 7, line 1; column 7, lines 20-28); a communication mode switching control section for controlling the switching of communication mode with the information server from the first communication mode to the second communication mode by storing into the connection information storage section connection information based on a condition of communication connection of the communication apparatus and the information server in the first communication mode at a time of the switching, releasing the connection of the communication circuitry with the information server in the first communication mode in a state in which a connection of the communication apparatus and the information server in the second communication mode is not established, establishing a connection with the information server in the second communication mode in a state in which a

connection of the communication apparatus and the information server in the first communication mode is not established, and restoring the condition of communication connection, based on the stored connection information (column 3, lines 21-25; column 8, lines 3-22; column 10, lines 22-39, 55-59).

As per claim 2, Shaffer teaches the communication system of claim 1, wherein the communication apparatus further includes a switching condition storage section for storing a predetermined determination reference value, and wherein the communication mode switching control section compares an amount of information to be acquired from the information server and the determination reference, and determines whether or not to execute switching of communication mode, based on a result of the comparison (column 8, lines 3-22, 37-45).

As per claim 3, Shaffer teaches the communication system of claim 1, wherein the communication mode switching control section determines whether or not to execute the switching of communication mode, based on a kind of information to be acquired from the information server (column 3, lines 1-8; column 5, lines 53-55; where the medium based differences constitute switching by information type).

As per claim 5, Shaffer teaches the communication system of claim 1, wherein the communication apparatus further includes a switching condition storage section for storing a time (column 7, lines 20-28), and wherein the communication mode switching control section compares a current time and the time stored in the switching condition storage section, to determine whether or not to execute the switching of communication mode (column 3, lines 24-26; column 7, lines 20-37).

As per claim 6, Shaffer teaches the communication system of claim 1, wherein the communication mode switching control section determines whether to execute switching of communication mode or not, based on the operator's operation (column 11, line 34 – column 12, line 16; where the operator's choice of data implies a certain QoS, and when a suitable mode is found, the user chooses this, to initiate switching).

As per claim 7, Shaffer teaches the communication system of claim 1, wherein when a communication mode switching instruction is received from the information server, the communication mode switching control section switches the communication mode, based on the switching instruction (column 3, lines 24-25; where the network administrator's switch constitutes a switching instruction from the information server).

As per claim 8, Shaffer teaches the communication system of claim 7, wherein the communication apparatus transmits to the information server a signal representative of whether to transmit the communication mode switching instruction from the information server to the communication apparatus or not, based on the operator's operation (column 3, lines 24-25; column 6, lines 43-55; where the signal is inherently sent, by which the administrator can decide whether to switch modes by whether the QoS is met).

As per claim 10, Shaffer teaches a communication system comprising: an information server capable of performing communication in first and second communication modes (column 4, lines 4-27; where one remotely located site constitutes the information server from which the user obtains data; column 5, lines 44-52); and a communication apparatus capable of performing communication in the first and the second communication modes (column 3, lines 9-16; column 4, lines 4-27), the communication apparatus including: communication circuitry capable of

performing communication with the information server in the first and the second communication modes to receive information sent from the information server in response to an information acquisition request generated by the communication apparatus and communicated to the information server via the communication circuitry (column 4, lines 4-27; where one remote site constitutes one user, and the node from which data is obtained is the information server); a connection information storage section (column 6, line 56 – column 7, line 1; column 7, lines 20-28); a switching condition storage section for storing a reference value of an information transfer rate (column 6, lines 18-30); and a communication mode switching control section for, when the communication means is acquiring information from the information server in the first communication mode monitoring a rate of information transfer from the information server, comparing the information transfer rate being monitored and the reference value of the information transfer rate previously stored in the switching condition storage section, and in cases where the information transfer rate being monitored does not exceed the reference value, storing a condition of communication connection with the information server at that time into the connection information storage section as the connection information, disconnecting the communication in the first communication mode in a state in which a connection of the communication apparatus and the information server in the second communication mode is not established, establishing a connection with the information server in the second communication mode to perform switching of communication mode in a state in which a connection of the communication apparatus and the information server in the first communication mode is not established, and restoring the communication connection condition based on the connection information stored in the connection information storage section when the communication in the

first communication mode is disconnected (column 6, lines 18-55; where the switching constitutes the disconnection from the first communication mode).

As per claim 11, Shaffer teaches a communication system comprising: an information server capable of performing communication in first and second communication modes (column 4, lines 4-27; where one remotely located site constitutes the information server from which the user obtains data; column 5, lines 44-52); and a communication apparatus capable of performing communication in the first and the second communication modes (column 3, lines 9-16; column 4, lines 4-27), the information server including: communication means capable of performing communication with the communication apparatus in the first and the second communication modes (column 4, lines 4-27; where one remote site constitutes one communication apparatus, and the node from which data is obtained is the information server); a switching condition storage section for storing a predetermined reference value of an information transfer rate (column 6, lines 18-30); and a communication mode switching control section for, when the communication means is transferring information to the communication apparatus in the first communication mode, monitoring the information transfer rate, comparing the information transfer rate being monitored and the reference value of the information transfer rate previously stored in switching condition storage section, and in cases where the information transfer rate being monitored does not exceed the reference value, causing the communication means to transmit a communication mode switching instruction to the communication apparatus (column 6, lines 18-55; where the switching constitutes the disconnection from the first communication mode), and the communication apparatus including: communication means capable of performing communication with the information server in the first and the second



communication modes to receive information sent from the information server in response to an information acquisition request generated by the communication apparatus and communicated to the information server via the communication circuitry (column 3, lines 9-16; column 4, lines 4-27); a connection information storage section for storing a communication connection condition as connection information (column 6, line 56 – column 7, line 1; column 7, lines 20-28); and a communication mode switching control section for, when the communication means receives the communication mode switching instruction causing a condition of communication connection with the information server at that time to be stored in the connection information storage section as the connection information, based on the switching instruction, disconnecting the communication in the first communication mode in a state in which a connection of the communication apparatus and the information server in the second communication mode is not established, establishing a connection with the information server in the second communication mode to perform switching of communication mode in a state in which a connection of the communication apparatus and the information server in the first communication mode is not established, and restoring the communication connection condition based on the connection information stored when the communication in the first communication mode is disconnected (column 6, lines 18-55; where the switching constitutes the disconnection from the first communication mode; column 3, lines 21-25; column 10, lines 55-59; column 12, lines 10-15).

As per claim 14, Shaffer teaches the communication system of claim 1, wherein after a predetermined time has elapsed since the information acquisition in the second communication mode is completed, the communication mode switching control section automatically disconnects the communication in the second communication mode, and again establishes a

connection with the information server in the first communication mode to perform switching of communication mode (column 3, lines 21-26; where the link failure constitutes the completion of the acquisition of information in the second mode, and the reconnection constitutes a reconnection into the first mode).

As per claim 15, Shaffer teaches a communication apparatus for communicating with an information server using different communication modes, the communication apparatus comprising: a communication section for establishing communications with the information server in the different communication modes to receive information sent from the information server in response to an information acquisition request generated by the communication apparatus and communicated to the information server via the communication circuitry (column 4, lines 29-45); a storage section (column 9, lines 1-5); and a communication mode switching control section for controlling the switching of communication modes with the information server by storing connection information into the storage section based on a communication connection condition of the communication apparatus and the information server in a current communication mode, releasing the communication connection with the information server in a state in which a connection of the communication apparatus and the information server in the second communication mode is not established, and establishing a communication connection with the information server in another mode in a state in which a connection of the communication apparatus and the information server in any communication mode is not established and restoring the communication connection condition based on the stored connection information (column 4, lines 29-45).

As per claim 18, Shaffer teaches the communication apparatus of claim 15, wherein the communication mode switching control section controls the switching based on an instruction signal from the information server (column 4, lines 29-45).

As per claim 20, Shaffer teaches the communication apparatus of claim 15, wherein the communication mode switching control section controls the switching in accordance with a type of information to be acquired from the information server (column 5, lines 53-55).

As per claim 21, Shaffer teaches the communication apparatus of claim 15, wherein the communication mode switching control section controls the switching based at least in part on communication charges (Abstract).

As per claim 22, Shaffer teaches the communication apparatus of claim 15, wherein the communication mode switching control section controls the switching based on comparison between a current time and a specified time (column 7, lines 20-28).

As per claim 23, Shaffer teaches the communication apparatus of claim 15, wherein the communication mode switching section controls the switching based at least in part on an operator's instruction (column 8, lines 26-29).

### ***Claim Rejections - 35 USC § 103***

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claim 4 is rejected under 35 U.S.C. 103(a) as being unpatentable over Shaffer in view of Kunz (U.S. 6,223,221).

As per claim 4, Shaffer teaches the communication system of claim 1, wherein the communication apparatus includes a switching condition storage section (column 4, lines 44-52), and wherein when an information acquisition request is received from the operator, the communication mode switching control section measures a parameter, and determines whether to execute switching of communication mode or not based on the measured parameter for the communication connection times in the first and second communication modes, respectively, previously stored in the switching condition storage section (column 6, lines 18-55). Shaffer does not specifically teach that the measured parameter is the connection time. Kunz teaches an intelligence tool in a web browser that measures download and connection time to perform a certain task (column 2, lines 8-34). It would have been obvious to one of ordinary skill in the art to include the tool to measure connection time into the QoS monitoring device, in which modes are switched based on whether the Qos is met, as taught by Kunz in the system of Shaffer. The motivation for doing so lies in the fact that adding the parameter of connection time would allow for modes to be discriminated by this parameter, further diversifying the invention. Connection charges are often incurred by connection time, and thus the inclusion of this component would account for this fact, allowing the system to choose a cheaper mode for information transfer. Both inventions are from the same field of endeavor, namely the efficient transfer of data through a network.

Claim 9 is rejected under 35 U.S.C. 103(a) as being unpatentable over Shaffer in view of Watson (U.S. 6,631,409).

As per claim 9, Shaffer teaches the communication system of claim 1, but does not specifically teach the user's ability to override switching instructions from the information server. Watson teaches the user's ability to override default network settings (column 10, lines 27-38). It would have been obvious to one of ordinary skill in the art at the time of the invention to include the ability of a user to override the instruction from the information server, based on his/her preference, as taught by Watson in the system of Shaffer. The motivation for doing so lies in the fact that allowing the user to ultimately control his/her preferences for communication modes gives the user a further degree of freedom to add further efficiency and ease of use to the invention. Both inventions are from the same field of endeavor, namely the efficient use of network resources to allow for data transportation.

Claims 12 and 13 are rejected under 35 U.S.C. 103(a) as being unpatentable over Shaffer in view of Davis (U.S. 5,583,922).

As per claim 12, Shaffer teaches the communication system of claim 1, but does not specifically teach the automatic disconnection of a communication mode, after the information acquisition in this mode has been completed. Davis teaches the switching from a data transmission back to voice mode in a communication system, once the data transmission is completed (column 7, lines 19-45). It would have been obvious to one of ordinary skill in the art at the time of the invention to include the ability to automatically return to a first mode after the use of the second mode has been completed, as taught by Davis in the system of Shaffer. The

motivation for doing so lies in the fact that there exists a need for the connection to revert back to its default node, in a situation where connection to a second node must be paid for, for example. To avoid overcharging, it is necessary for the mode to revert to the default mode after the task in the second mode is completed, so that there is no unnecessary connection time to the second mode. Both inventions are from the same field of endeavor, namely the use of a communication system employing different methods of communication.

As per claim 13, Shaffer teaches the communication system of claim 1, and teaches that the instruction to switch the communication mode can come from the information server (column 3, lines 21-25). Shaffer does not specifically teach the automatic disconnection and switching from a second communication mode to a first communication mode, triggered by the information server. Davis teaches the switching from a data transmission back to voice mode in a communication system, once the data transmission is completed (column 7, lines 19-45). It would have been obvious to one of ordinary skill in the art to include the ability to automatically return to a first mode after the use of the second mode has been completed, triggered by the information server, as taught by Davis in the system of Shaffer. The motivation for doing so lies in the fact that there exists a need for the connection to revert back to its default node, in a situation where connection to a second node must be paid for, for example. To avoid overcharging, it is necessary for the mode to revert to the default mode after the task in the second mode is completed, so that there is no unnecessary connection time to the second mode. Both inventions are from the same field of endeavor, namely the use of a communication system employing different methods of communication.

Claims 16 and 17 are rejected under 35 U.S.C. 103(a) as being unpatentable over Shaffer.

As per claim 16, Shaffer teaches the communication apparatus of claim 15, but does not specifically teach the use of a URL as a connection information. It would have been obvious to one of ordinary skill in the art at the time of the invention to include this as a criteria, in light of the fact that the connection modes, in Shaffer's invention, are governed by data type and websites. Therefore, it would have been obvious to include the use of a URL as a criteria, since certain modes would be better suited for certain URLs. See also O'Neil, U.S. Patent 6,128,279, which discusses directing different URLs to different server modes.

As per claim 17, Shaffer teaches the communication apparatus of claim 15, but does not specifically teach that the communication system is embodied in a portable terminal. It would have been obvious to one of ordinary skill in the art at the time of the invention to include the ability to make the system part of a portable device. In light of Shaffer disclosing that there exists a network interface, embodied in a modem, to control communications over the network (column 6, lines 1-13), and also a computer to govern over the process (figure 2), it would have been obvious to one of ordinary skill in the art at the time of the invention to include the ability to use wireless modems on a portable computer in this capacity, which constitutes embodiment in a portable terminal.

Claim 19 is rejected under 35 U.S.C. 103(a) as being unpatentable over Shaffer in view of McLain (U.S. 6,493,758).

As per claim 19, Shaffer teaches the communication apparatus of claim 15, wherein the communication mode switching control section controls the switching based on a comparison

(column 2, lines 13-58). Shaffer does not specifically teach that this comparison is performed as a limit of a data amount to be acquired. McLain teaches the placing of a limit of data to be downloaded from the information server, such that if it exceeds a reference value, discontinuation will take place (column 7 line 32 – column 8 line 6). It would have been obvious to one of ordinary skill in the art at the time of the invention to place a download limit on a certain mode and switch to another once this limit has been met, as taught by McLain in the system of Shaffer. The motivation for doing so lies in the fact that placing a limit on a download will enable tariffs to be controlled, in the case that after a certain amount, the tariff increases. With the obvious modification, switching may take place before the increase, for the consumer to enjoy lower tariffs without concerns of time limitation online. Both inventions are from the same field of endeavor, namely the efficient transfer of information thorough a network.

### *Response to Arguments*

Applicant's arguments filed on June 5, 2006 have fully been considered, but are not persuasive.

a. Applicant asserts that Shaffer discloses that the mode switching section is performed by equipment disposed between remote sites that are performing communication. Examiner agrees, but asserts that this point is irrelevant, because this equipment as a whole, wherever it is disposed, may constitute a communication apparatus. There is no claimed disclosure that limits the term "apparatus" to a single, integrated unit.



b. Regarding claim 17, it would have been obvious to embody the communication section, storage section, and the communication mode switching control section into a computer system. As such, it would have been obvious to embody these things in a laptop computer, as well, for example. This constitutes a portable terminal.

c. The combination of Shaffer and McLain would arrive at the claimed limitation of claim 19, because Shaffer already discloses a situation where if thresholds are exceeded, switching takes place from one mode to another. If combined with McLain's concept of the discontinuing of the mode after reaching a download limit, Shaffer would enable a switching-back to a different mode, because Shaffer enables switching back and forth because of different criteria already. As such, the combination would arrive at the claimed invention, and would be obvious because both inventions seek to solve the same problem – namely the efficient and effective network communication.

### ***Conclusion***

**THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within **TWO MONTHS** of the mailing date of this final action and the advisory action is not mailed until after the end of the **THREE-MONTH** shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37

CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Tanim Hossain whose telephone number is 571/272-3881. The examiner can normally be reached on 8:30 am - 5 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Jason Cardone can be reached on 571/272-3933. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

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